From:	Bonanno, Natalie A - Washington, DC
To:	CD@oge.gov
Cc:	Brewster-Johnson, Jessica Y - Washington, DC
Subject:	#sensitive# CD Request
Date:	Friday, August 14, 2020 4:25:12 PM
Attachments:	2020 08-14 cd request formatDeJoy.pdf
	2020 08-14 Holdings Disclosure.pdf
	2020 08-14 Written Notification Memorandum.pdf
	OGE 201 Dejoy OGE 278.pdf
	OGE 201 Dejoy OGE 278-T.pdf

Good Afternoon:

I attached a certification of divestiture request for United States Postal Service Postmaster General Louis DeJoy. Please let us know if you have any questions or require additional information. Thanks so much.

Sincerely, *Natalie* Natalie A. Bonanno Associate General Counsel and Chief Ethics & Compliance Officer United States Postal Service 475 L'Enfant Plaza SW Room 6108 Washington, DC 20260-1127 Office: (202) 268-2944 Mobile: ^(b) (6)

Louis DeJoy - Holdings Disclosure as of 8/13/2020

CAPTIAL DRE FINANCIAL CO 64/H COF H COF H Louis Delay Self CAPTIAL DRE FINANCIAL CO 64/H COF H COF H LOUIS DELAY FAULT PARTNERSHP, LLC Manager CAPTIAL DRE FINANCIAL SSI- IPR COF J LOUIS DELAY FAULT PARTNERSHP, LLC Manager CAPTIAL DRE FINANCIAL SSI- IPR COF J LOUIS DELAY FAULT PARTNERSHP, LLC Manager CAPTIAL DRE FINANCIAL SSI- IPR CVS C 08/21/20 67.5 LOUIS DELAY Self CALL CVS CORDARTION AT 67 3000 KPRES 08/21/2020 CVS C 08/21/20 67.5 LOUIS DELAY Self DOMINION ELEGEN INC. D LOUIS DELAY Manager Self DOMINION ELEGEN INC. Self DISCOMPRIS 10/16/2020 DFS CU 10/20/20 2.5 LOUIS DELAY Manager DOMINION ELEGEN INC. Self DISCOMPRIS 10/16/2020 DFS CU 10/20/20 2.5 LOUIS DELAY Manager DOMINION ELEGEN INC. Self IBM LOUIS DELAY Manager DOMINION ELEGEN INC. Self IBM LOUIS DELAY Manager DOMINION ELEGEN INC. Self IBM LOUIS DELAY Manager	Security Description	Symbol	Quantity	Owner of the Property	Relationship
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REQUEST FOR A CERTIFICATE OF DIVESTITURE

(must be completed by the agency, not by the requesting employee)

Requesting employee's name and position title:	Employing agency and component:
Louis DcJoy	United States Postal Service
Agency point of contact: Natalic Bonanno, Associate General Counsel and Chief Ethics & Compliance Officer	Telephone number and email address for agency point of contact: (202) 268-2944; (b) (6)
Signature of DAEO or ADAEO:	Date signed:
	08/14/2020

For complete instructions on requesting a Certificate of Divestiture (CD), please review 5 C.F.R. part 2634, subpart J.

1. Provide the requested information about the (d) Relationship (a) Description (b) Quantity (c) Owner(s) property being divested and the owner(s) of that of the property or of the to the employee percentage property (see sample table to the right): property owned McDonald's John A. self (a) description of the property: include the name of the property 57 shares Corp. Jones being divested; 251 shares self General Electric John A. (b) quantity or percentage owned: quantity may be represented Jones (joint) Co. by the number of shares of stock, units owned, Mary B. Jones spouse or percentage of the partnership owned; (c) owner(s) of the property: provide the full name of the 12.24% Play Toys, LP Mary B. spouse owner(s) of the property; and interest Jones (d) relationship to the employee: indicate whether each property Merck & Co., 33 shares William C. trustee owner is one of the following: (i) a government employee; (ii) a Smith Inc. spouse, a minor child or a dependent child of the government John A. self Coca-Cola, Co. 55 shares employee; or (iii) a trustee of a must for the benefit of the Jones (as government employee, the spouse, minor or dependent child of custodian) dependent child the government employee. Robert D. Jones

Please provide the requested information in an Excel spreadsheet or Word document if a CD is being requested for more than six holdings. Please do not submit financial statements.

(a) Description of the property

(b) Quantity or percentage owned (c) Owner(s) of the property (d) Relationship to the employee (a) Description of the property

(b) Quantity or (c) Owner(s) of percentage the property owned (d) Relationship to the employee

2. Provide a brief description of the employee's position or provide a citation to a statute or regulation that sets forth the duties of the position. (If additional space is needed, please use the <u>continuation page</u> at the end of the format.)

The postmaster general of the United States is the chief executive officer of the United States Postal Service. Sec 39 U.S.C. § 203.

3. Provide a detailed explanation of the basis for your conclusion that divestiture is reasonably necessary to comply with Federal ethics rules. (If additional space is needed, please use the continuation page at the end of the format.)

(b) (5)	

4. Provide the date that the requirement to divest first applied or the date the employee first agreed that the eligible person would divest the property in order to comply with conflict of interest requirements. (If additional space is needed, please use the continuation page at the end of the format.)

July 21, 2020

5. Please address all items below (If additional space is needed, please use the <u>continuation page</u> at the end of the format.):

a. Has the employee been informed of their obligation to recuse from particular matters in which the employee would have a disqualifying financial interest arising from the property described in the employee's request for a Certificate of Divestiture?

Yes I No (if no, please explain using the continuation page)

b. Was the property acquired prior to appointment?

Yes No I (if no, please explain using the continuation page)

Clear Form

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c. Will divestiture of the property incur capital gains rather than ordinary income?

Yes 🗹 No 🗆 (if no, please explain using the continuation page)

d. Has the ethics office confirmed that none of the employee's other financial interests are "similar or related" to the property being divested (i.e., will divestiture resolve the entire conflict)?

Yes 🔽 No 🔲 (if no or if additional explanation is needed, please explain using the continuation page)

e. Has the ethics office confirmed that the property being divested is not held in an account that can be rolled into permissible property in a tax-deferred account without incurring capital gains (e.g., IRA, 401(k), etc.)?

Yes No (if no, please explain using the continuation page)

f. Is the request within the divestiture deadline?

Yes No (if no, please explain using the continuation page)

6. Are there any other factors that are not addressed above that weigh against issuing a certificate of divestiture (e.g., is the ethics office aware that the employee may be leaving the Government soon, or is under investigation or subject to a disciplinary action related to the conflict of interest requirements)? If yes, provide a detailed statement identifying the factors that weigh against issuing a certificate of divestiture on a continuation page.

Yes 🗖 No 🗹

7. Please confirm that you are submitting a completed CD package, including:

a. A written request from the employee that includes an unconditional statement that the eligible person holding the property (i.e., the employee, spouse, and/or relevant trustee) has agreed to divest the property.

Yes 🔽 No 🗖

b. A copy of the employee's most recent financial disclosure report including any required subsequent Periodic Transaction Reports, or a list of the equivalent information if the employee does not file a financial disclosure report.

Yes 🗹 No 🗆

c. A copy of the trust document if any of the property is held in a trust.

N/A 🗹 Yes 🗖 No 🗖

Clear Form

. Please provide any additional information that you would like OGE to consider when processing the request. (If additional space is needed, please use the continuation page at the end of the format.)

Please send the completed CD package to CD@oge.gov or to the following address: Director, U.S. Office of Government Ethics, 1201 New York Avenue, NW, Suite 500, Washington, DC 20005-3917.

REQUEST FOR A CERTIFICATE OF DIVESTITURE Continuation Page

Before each response, please indicate the question for which you are providing additional information.

REQUEST FOR A CERTIFICATE OF DIVESTITURE Continuation Page

Before each response, please indicate the question for which you are providing additional information.

August 13, 2020

USPS Ethics Office

This memorandum is to provide written notification that I as well as the persons holding the property will divest all interest in all common stock and preferred securities (including preferred stock) in the entities listed in Attachment A within 60 days of receipt of the Certificate of Divesture. With regard to each of these entities, I will not participate personally and substantially in any particular matter that to my knowledge has a direct and predictable effect on the financial interests of the entity until I have divested it.

Please let me know if you need additional information.

Very truly yours,

Louis DeJoy

LOUIS DEJOY Postavsila General: CEO



July 21, 2020

USPS ETHICS OFFICE KATHERINE SIGLER, ACTING SECRETARY OF THE BOARD OF GOVERNORS

SUBJECT: Notification of Commitment to Recuse and Screening Arrangement

This memorandum is to provide you with written notification of my commitment to refrain from participation in certain matters in which I may have a financial interest, and the screening arrangement I have implemented to ensure my compliance with this commitment.

Under 18 U.S.C. § 208, I am prohibited from participating personally and substantially in any particular matter that would have a direct and predictable effect on my own financial interests or those of certain other persons or organizations identified in section 208. I have a financial interest in each of the companies listed below worth more than \$15,000. As such, I am disqualifying myself from participating personally and substantially in any particular matter that would have a direct and predictable effect on the financial interests of the following companies:

AT&T INC COM CAPITAL ONE FINANCIAL CORP CVS HEALTH CORP COM DISCOVER FINCL SVCS DOMINION ENERGY INC HONEYWELL INTERNATIONAL INC INTL BUSINESS MACHINES CORP LOCKHEED MARTIN CORP REGIONS FINANCIAL CORP TRAVELERS COMPANIES INC UNITEDHEALTH GP INC VERIZON COMMUNICATIONS XPO LOGISTICS INC

Until further notice, I will not officially participate in any way in such matters. To help ensure that I do not officially participate in these matters, the following steps have already been taken or are in the process of being taken:

(1) Heather Clarke, Chief of Staff to the Postmaster General, and Michael Elston, Special Senior Advisor to the Postmaster General, have been instructed to screen all matters assigned to me to ensure that I do not directly or indirectly participate in any matter involving the companies listed above. Ms. Clarke and Mr. Elston have also agreed to forward all matters involving these companies to David Williams, Chief Operating Officer and Executive Vice President.

(2) Ms. Clarke, Mr. Elston, and Mr. Williams agree that if any questions arise concerning the above-noted procedures, they must immediately contact the Ethics Office for consultation and advice.

475 L'Enfant Plaza SW Washington, DC 20260 0010 WWW.USPS. EDM In consultation with the Ethics Office, I will revise and update this memorandum whenever it is warranted by changed circumstances, such any sale or exchange of stock, or a change in the nature of my official duties. In the event of any changes to this arrangement, I will provide you with written notice.

If you would prefer to select someone other than the individuals named in this agreement, please notify me of your determination so that I might provide the information to the appropriate personnel.

2

Please let me know if you have any questions.

Louis DeJoy CC: **Ethics Office**

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UNITED STATES

August 3,t2020

USPS ETHICS OFFICE KATHERINE SIGLER, ACTING SECRETARY OF THE BOARD OF GOVERNORS

SUBJECT: Notification of Commitment to Recuse and Screening Arrangement

This memorandum is to provide you with written notification of my commitment to refrain from participation in certain matters in which I may have a financial interest, and the screening arrangement I have implemented to ensure my compliance with this commitment.

Under 18 U.S.C. § 208, I am prohibited from participating personally and substantially in any particular matter that would have a direct and predictable effect on my own financial interests or those of certain other persons or organizations identified in section 208. I have a financial interest in JP Morgan Chase worth more than \$15,000. As such, I am disqualifying myself from participating personally and substantially in any particular matter that would have a direct and predictable effect on the financial interests of this company.

Until further notice, I will not officially participate in any way in such matters. To help ensure that I do not officially participate in these matters, the following steps have already been taken or are in the process of being taken:

(1) Heather Clarke, Chief Of Staff to the Postmaster General, and Michael Elston, Special Senior Advisor to the Postmaster General, have been instructed to screen all matters assigned to me to ensure that I do not directly or indirectly participate in any matter involving JP Morgan Chase. Ms. Clarke and Mr. Elston have also agreed to forward all matters involving JP Morgan Chase to David E. Williams, Chief Operating Officer and Executive Vice President.

(2) Ms. Clarke, Mr. Elston, and Mr. Williams agree that if any questions arise concerning the above-noted procedures, they must immediately contact the Ethics Office for consultation and advice.

In consultation with the Ethics Office, I will revise and update this memorandum whenever it is warranted by changed circumstances, such any sale or exchange of stock, or a change in the nature of my official duties. In the event of any changes to this arrangement, I will provide you with written notice.

If you would prefer to select someone other than the individuals named in this agreement, please notify me of your determination so that I might provide the information to the appropriate personnel.

Please let metknow if you have any questions.

Louis DeJoy

cc: Ethics Office

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REQUEST FOR A CERTIFICATE OF DIVESTITURE

(must be completed by the agency, not by the requesting employee)

Requesting employee's name and position title:

Louis DcJoy

Agency point of contact:

Natalic Bonanno, Associate General Counsel and Chief Ethics & Compliance Officer

Signature of DAEO or ADAEO:

Natalie Bonanno Date: 2020.10.07 17:16:43 04'00'

Employing agency and component:

United States Postal Service

Telephone number and email address for agency point of contact:

(202) 268-2944; (b) (6

Date signed:

For complete instructions on requesting a Certificate of Divestiture (CD), please review 5 C.F.R. part 2634, subpart J.

1. Provide the requested information about the (a) Description (b) Quantity (c) Owner(s) (d) Relationship property being divested and the owner(s) of that of the property or of the to the employee percentage property (see sample table to the right): property. owned McDonald's John A. self (a) description of the property: include the name of the property 57 shares Cosp. Jones being divested; 251 shares self (b) quantity or percentage owned: quantity may be represented General Electric John A. Jones (joint) Co. by the number of shares of stock, units owned, or percentage of the partnership owned; Mary B. Jones spouse (c) owner(s) of the property: provide the full name of the Play Toys, LP 12.24% Mary B. spouse owner(s) of the property; and interest Jones (d) relationship to the employee: indicate whether each property Merck & Co., 33 shares William C. trustee owner is one of the following: (i) a government employee; (ii) a Smith Inc. spouse, a minor child or a dependent child of the government self John A. Coca-Cola, Co. 55 shares employee; or (iii) a trustee of a must for the benefit of the Jones (as government employee, the spouse, minor or dependent child of custodian) dependent child the government employee. Robert D. Jones

Please provide the requested information in an Excel spreadsheet or Word document if a CD is being requested for more than six holdings. Please do not submit financial statements.

(a) Description of the property

(b) Quantity or percentage owned (c) Owner(s) of the property

(d) Relationship to the employee (a) Description of the property

(b) Quantity or percentage owned

(c) Owner(s) of the property

(d) Relationship to the employee

2. Provide a brief description of the employee's position or provide a citation to a statute or regulation that sets forth the duties of the position. (If additional space is needed, please use the continuation page at the end of the format.)

The postmaster general of the United States is the chief executive officer of the United States Postal Service. Sec 39 U.S.C. § 203.

3. Provide a detailed explanation of the basis for your conclusion that divestiture is reasonably necessary to comply with Federal ethics rules. (If additional space is needed, please use the continuation page at the end of the format.)



4. Provide the date that the requirement to divest first applied or the date the employee first agreed that the eligible person would divest the property in order to comply with conflict of interest requirements. (If additional space is needed, please use the continuation page at the end of the format.)

July 21, 2020 for each of the assets with the exception of Microsoft, Clearfork Capital Fund, and GS Exchange Place, LP. Mr. DeJoy agreed the divest Microsoft on October 2, 2020. (see continuation page) 5. Please address all items below (If additional space is needed, please use the continuation page at the end of the format.):

a. Has the employee been informed of their obligation to recuse from particular matters in which the employee would have a disqualifying financial interest arising from the property described in the employee's request for a Certificate of Divestiture?

Yes \square No \square (if no, please explain using the continuation page)

b. Was the property acquired prior to appointment?

Yes 🔽 No 🗆 (if no, please explain using the continuation page)

Clear Form

c. Will divestiture of the property incur capital gains rather than ordinary income?

Yes 🗹 No 🔲 (if no, please explain using the continuation page)

d. Has the ethics office confirmed that none of the employee's other financial interests are "similar or related" to the property being divested (i.e., will divestiture resolve the entire conflict)?

Yes 🗹 No 🔲 (if no or if additional explanation is needed, please explain using the continuation page)

e. Has the ethics office confirmed that the property being divested is not held in an account that can be rolled into permissible property in a tax-deferred account without incurring capital gains (e.g., IRA, 401(k), etc.)?

Yes No (if no, please explain using the continuation page)

f. Is the request within the divestiture deadline?

Yes No C (if no, please explain using the continuation page)

6. Are there any other factors that are not addressed above that weigh against issuing a certificate of divestiture (e.g., is the ethics office aware that the employee may be leaving the Government soon, or is under investigation or subject to a disciplinary action related to the conflict of interest requirements)? If yes, provide a detailed statement identifying the factors that weigh against issuing a certificate of divestiture on a continuation page.

Yes 🗖 No 🗹

7. Please confirm that you are submitting a completed CD package, including:

a. A written request from the employee that includes an unconditional statement that the eligible person holding the property (i.e., the employee, spouse, and/or relevant trustee) has agreed to divest the property.

Yes 🗸 No 🗆

b. A copy of the employee's most recent financial disclosure report including any required subsequent Periodic Transaction Reports, or a list of the equivalent information if the employee does not file a financial disclosure report.

Yes 🗹 No 🗆

c. A copy of the trust document if any of the property is held in a trust.

N/A 🗹 Yes 🗖 No 🗖

Clear Form

. Please provide any additional information that you would like OGE to consider when processing the request. (If additional space is needed, please use the continuation page at the end of the format.)

Please send the completed CD package to CD@oge.gov or to the following address: Director, U.S. Office of Government Ethics, 1201 New York Avenue, NW, Suite 500, Washington, DC 20005-3917.

REQUEST FOR A CERTIFICATE OF DIVESTITURE Continuation Page

Before each response, please indicate the question for which you are providing additional information.

4) Mr. DeJoy agreed to divest Clearfork Capital Fund and GS Exchange Place, LP on October 5, 2020

REQUEST FOR A CERTIFICATE OF DIVESTITURE Continuation Page

Before each response, please indicate the question for which you are providing additional information.

October 7, 2020

USPS Ethics Office

This memorandum is to confirm and provide written notification that I will divest all interest in all common stock and preferred securities (including preferred stock) in the entities listed in Attachment A within divesture deadline.

With regard to each of these entities, I will not participate personally and substantially in any particular matter that to my knowledge has a direct and predictable effect on the financial interests of the entity until I have divested it.

Please let me know if you need additional information.

ouis DeJoy

Security Description	Symbol	Quantity	Owner of the Property	Relationship
AT&T INC	Т	(b) (6)	Louis DeJoy	Self
AT&T INC	Т		Louis DeJoy	Self
AT&T INC 5.625% NOTE (TBC)	Т		Louis DeJoy	Self
AT&T INC 4.750% SER-C	T.C		Louis DeJoy	Self
CALL AT&T INC AT 31.000 EXPIRES 10/16/2020	T C 10/16/20 31		Louis DeJoy	Self
CALL CVS CORPORATION AT 67.500EXPIRES 08/21/2020	CVS C 08/21/20 67.5		Louis DeJoy	Self
CALL DISCOVER FINCL SVCS AT 42.500 EXPIRES 10/16/2020	DFS C 10/16/20 42.5		Louis DeJoy	Self
CALL INTL BUSINESS MACHIN AT 135.000 EXPIRES 12/18/2020	IBM C 12/18/20 135		Louis DeJoy	Self
CALL JP MORGAN CHASE&CO AT 100.000 EXPIRES 01/15/2021	JPM C 01/15/21 100		Louis DeJoy	Self
CALL XPO LOGISTICS INC NEW AT 80.000 EXPIRES 11/20/2020	XPO C 11/20/20 80		Louis DeJoy	Self
CALL XPO LOGISTICS INC NEW AT 85.000 EXPIRES 11/20/2020	XPO C 11/20/20 85		Louis DeJoy	Self
CALL XPO LOGISTICS INC NEW AT 85.000 EXPIRES 11/20/2020	XPO C 11/20/20 85		Louis DeJoy	Self
CAPITAL ONE FINANCIAL 5%-I PRF	COF.I		Louis DeJoy	Self
CAPITAL ONE FINANCIAL CO 6%-H	COF.H		Louis DeJoy	Self
CVS HEALTH CORP COM	CVS		Louis DeJoy	Self
DISCOVER FINCL SVCS	DFS		Louis DeJoy	Self
DOMINION ENERGY INC	D		Louis DeJoy	Self
HONEYWELL INTERNATIONAL INC	HON		Louis DeJoy	Self
INTL BUSINESS MACHINES CORP	IBM		Louis DeJoy	Self
INTL BUSINESS MACHINES CORP	IBM		Louis DeJoy	Self
JPMORGAN CHASE & CO	JPM		Louis DeJoy	Self
JPMORGAN CHASE & CO	JPM		Louis DeJoy	Self
JPMORGAN CHASE & CO 5.75-DD	JPM.D		Louis DeJoy	Self
JPMORGAN CHASE & CO 6.0% S-EE	JPM.C		Louis DeJoy	Self
JPMORGAN CHASE & CO 6.15% BB	JPM.H		Louis DeJoy	Self
LOCKHEED MARTIN CORP	LMT		Louis DeJoy	Self
REGIONS FINANCIAL CO 6.375%-B	RF.B		Louis DeJoy	Self
REGIONS FINANCIAL CORP NEW	RF		Louis DeJoy	Self
REGIONS FINL CORP 6.375%-A	RF.A		Louis DeJoy	Self
VERIZON COMMUNICATIONS	VZ		Louis DeJoy	Self
VERIZON COMMUNICATIONS	VZ		Louis DeJoy	Self
XPO LOGISTICS INC	XPO		Louis DeJoy	Self

Louis DeJoy - Holdings Disclosure as of 10/7/20

Security Description	Symbol	Quantity	Owner of the Property	Relationship
AT&T INC	Т	(b) (6)	Louis DeJoy	Self
AT&T INC	Т		Louis DeJoy	Self
AT&T INC (T), OPEN POSITION FOR WRITTEN CALL OPTION: 6,000 SHARES,	T C 10/16/20 31		Louis DeJoy	
STRIKE \$31 00, EXP. 10/2020				Self
AT&T INC 4.750% SER-C	T.C		Louis DeJoy	Self
CAPITAL ONE FINANCIAL 5%-I PRF	COF.I		Louis DeJoy	Self
CAPITAL ONE FINANCIAL CO 6%-H	COF.H		Louis DeJoy	Self
CVS HEALTH CORP COM	CVS		Louis DeJoy	Self
CVS - HEALTH CORP COM (CVS), OPEN POSITION FOR WRITTEN CALL OPTION:	CVS C 02/19/21 67.50		Louis DeJoy	
3,000 SHARES, STRIKE AT \$67.50, EXP 02/2021				Self
DISCOVER FINCL SVCS	DFS		Louis DeJoy	Self
DISCOVER FINCL SVCS (DFS), OPEN POSITION FOR WRITTEN CALL OPTION:	DFS C 10/16/20 42.5		Louis DeJoy	
4,000 SHARES, STRIKE AT \$42.50, EXPIRES 10/2020				Self
DOMINION ENERGY INC	D		Louis DeJoy	Self
HONEYWELL INTERNATIONAL INC	HON		Louis DeJoy	Self
NTL BUSINESS MACHINES CORP	IBM		Louis DeJoy	Self
NTL BUSINESS MACHINES CORP	IBM		Louis DeJoy	Self
NTL BUSINESS MACHINES CORP (IBM), OPEN POSITION FOR WRITTEN CALL	IBM C 12/18/20 135		Louis DeJoy	
OPTION: 1,500 SHARES, STRIKE AT \$135.00, EXPIRES 12/2020				Self
PMORGAN CHASE & CO	JPM		Louis DeJoy	Self
IPMORGAN CHASE & CO	JPM		Louis DeJoy	Self
PMORGAN CHASE & CO 5.75-DD	JPM.D		Louis DeJoy	Self
PMORGAN CHASE & CO 6.0% S-EE	JPM.C		Louis DeJoy	Self
IP MORGAN CHASE & CO (JPM), OPEN POSITION FOR WRITTEN CALL OPTION:	JPM C 01/15/21 100		Louis DeJoy	
1,500 SHARES, STRIKE AT \$100.00, EXPIRES 01/2021				Self
OCKHEED MARTIN CORP	LMT		Louis DeJoy	Self
MICROSOFT	MSFT		Louis DeJoy	Self
REGIONS FINANCIAL CO 6.375%-B	RF.B		Louis DeJoy	Self
REGIONS FINANCIAL CORP NEW	RF		Louis DeJoy	Self
REGIONS FINL CORP 6.375%-A	RF.A		Louis DeJoy	Self
VERIZON COMMUNICATIONS	VZ		Louis DeJoy	Self
VERIZON COMMUNICATIONS	VZ		Louis DeJoy	Self
XPO LOGISTICS INC	ХРО		Louis DeJoy	Self

Louis DeJoy - Holdings Disclosure as of 10/7/20

Security Description	Symbol	Quantity	Owner of the Property	Relationship
AT&T INC	Т	(b) (6)	Louis DeJoy	Self
AT&T INC	Т		Louis DeJoy	Self
AT&T INC (T), OPEN POSITION FOR WRITTEN CALL OPTION: 6,000 SHARES,	T C 10/16/20 31		Louis DeJoy	
STRIKE \$31 00, EXP. 10/2020				Self
AT&T INC 4.750% SER-C	T.C		Louis DeJoy	Self
CAPITAL ONE FINANCIAL 5%-I PRF	COF.I		Louis DeJoy	Self
CAPITAL ONE FINANCIAL CO 6%-H	COF.H		Louis DeJoy	Self
CVS HEALTH CORP COM	CVS		Louis DeJoy	Self
CVS - HEALTH CORP COM (CVS), OPEN POSITION FOR WRITTEN CALL OPTION:	CVS C 02/19/21 67.50		Louis DeJoy	
3,000 SHARES, STRIKE AT \$67.50, EXP 02/2021				Self
DISCOVER FINCL SVCS	DFS		Louis DeJoy	Self
DISCOVER FINCL SVCS (DFS), OPEN POSITION FOR WRITTEN CALL OPTION:	DFS C 10/16/20 42.5		Louis DeJoy	
4,000 SHARES, STRIKE AT \$42.50, EXPIRES 10/2020				Self
DOMINION ENERGY INC	D		Louis DeJoy	Self
HONEYWELL INTERNATIONAL INC	HON	+-	Louis DeJoy	Self
INTL BUSINESS MACHINES CORP	IBM	+-	Louis DeJoy	Self
INTL BUSINESS MACHINES CORP	IBM	+-	Louis DeJoy	Self
INTL BUSINESS MACHINES CORP (IBM), OPEN POSITION FOR WRITTEN CALL	IBM C 12/18/20 135	+-	Louis DeJoy	
OPTION: 1,500 SHARES, STRIKE AT \$135.00, EXPIRES 12/2020	- , -,			Self
JPMORGAN CHASE & CO	JPM		Louis DeJoy	Self
JPMORGAN CHASE & CO	JPM		Louis DeJoy	Self
JPMORGAN CHASE & CO 5.75-DD	JPM.D		Louis DeJoy	Self
JPMORGAN CHASE & CO 6.0% S-EE	JPM.C		Louis DeJoy	Self
JP MORGAN CHASE & CO (JPM), OPEN POSITION FOR WRITTEN CALL OPTION:	JPM C 01/15/21 100		Louis DeJoy	
1,500 SHARES, STRIKE AT \$100.00, EXPIRES 01/2021				Self
LOCKHEED MARTIN CORP	LMT	\top	Louis DeJoy	Self
MICROSOFT	MSFT		Louis DeJoy	Self
REGIONS FINANCIAL CO 6.375%-B	RF.B		Louis DeJoy	Self
REGIONS FINANCIAL CORP NEW	RF		Louis DeJoy	Self
REGIONS FINL CORP 6.375%-A	RF.A		Louis DeJoy	Self
VERIZON COMMUNICATIONS	VZ		Louis DeJoy	Self
VERIZON COMMUNICATIONS	VZ		Louis DeJoy	Self
XPO LOGISTICS INC	XPO	\top	Louis DeJoy	Self
XPO LOGISTICS INC (XPO), OPEN POSITION FOR WRITTEN CALL OPTION: 75,000	XPO C 11/20/20 80		Louis DeJoy	
SHARES, STRIKE AT \$80.000, EXPIRES 11/2020			-	Self
XPO LOGISTICS INC (XPO), OPEN POSITION FOR WRITTEN CALL OPTION: 20,000	XPO C 11/20/20 85		Louis DeJoy	
SHARES, STRIKE AT \$85.00, EXPIRES 11/2020				Self
Clearfork Capital, LLC	Private Equity		Louis DeJoy	Self
Exchange Place, Goldmann Sachs	Private Equity		Louis DeJoy	Self

Louis DeJoy - Holdings Disclosure as of 10/7/20

Security Description	Symbol	Quantity	Owner of the Property	Relationship
AT&T INC	Т	(b) (6)	Louis DeJoy	Self
AT&T INC	Т		Louis DeJoy	Self
CALL AT&T INC AT 31.000 EXPIRES 10/16/2020	T C 10/16/20 31		Louis DeJoy	Self
AT&T INC 4.750% SER-C	T.C		Louis DeJoy	Self
CAPITAL ONE FINANCIAL 5%-I PRF	COF.I		Louis DeJoy	Self
CAPITAL ONE FINANCIAL CO 6%-H	COF.H		Louis DeJoy	Self
CVS HEALTH CORP COM	CVS		Louis DeJoy	Self
CALL CVS CORPORATION AT 67.500EXPIRES 08/21/2020	CVS C 08/21/20 67 5		Louis DeJoy	Self
DISCOVER FINCL SVCS	DFS		Louis DeJoy	Self
CALL DISCOVER FINCL SVCS AT 42.500 EXPIRES 10/16/2020	DFS C 10/16/20 42.5		Louis DeJoy	Self
DOMINION ENERGY INC	D		Louis DeJoy	Self
HONEYWELL INTERNATIONAL INC	HON		Louis DeJoy	Self
NTL BUSINESS MACHINES CORP	IBM		Louis DeJoy	Self
NTL BUSINESS MACHINES CORP	IBM		Louis DeJoy	Self
CALL INTL BUSINESS MACHIN AT 135.000 EXPIRES 12/18/2020	IBM C 12/18/20 135		Louis DeJoy	Self
IPMORGAN CHASE & CO	JPM		Louis DeJoy	Self
IPMORGAN CHASE & CO	JPM		Louis DeJoy	Self
IPMORGAN CHASE & CO 5.75-DD	JPM.D		Louis DeJoy	Self
IPMORGAN CHASE & CO 6.0% S-EE	JPM.C		Louis DeJoy	Self
CALL JP MORGAN CHASE&CO AT 100.000 EXPIRES 01/15/2021	JPM C 01/15/21 100		Louis DeJoy	Self
LOCKHEED MARTIN CORP	LMT		Louis DeJoy	Self
MICROSOFT	MSFT		Louis DeJoy	Self
REGIONS FINANCIAL CO 6.375%-B	RF.B		Louis DeJoy	Self
REGIONS FINANCIAL CORP NEW	RF		Louis DeJoy	Self
REGIONS FINL CORP 6.375%-A	RF.A		Louis DeJoy	Self
/ERIZON COMMUNICATIONS	VZ		Louis DeJoy	Self
VERIZON COMMUNICATIONS	VZ		Louis DeJoy	Self
XPO LOGISTICS INC	XPO		Louis DeJoy	Self

LOUIS DEJOY POSTMASTER GENERAL, CEO



October 2, 2020

USPS ETHICS OFFICE KATHERINE SIGLER, ACTING SECRETARY OF THE BOARD OF GOVERNORS

SUBJECT: Notification of Commitment to Recuse and Screening Arrangement

This memorandum is to provide you with written notification of my commitment to refrain from participation in certain matters in which I may have a financial interest, and the screening arrangement I have implemented to ensure my compliance with this commitment.

Under 18 U.S.C. § 208, I am prohibited from participating personally and substantially in any particular matter that would have a direct and predictable effect on my own financial interests or those of certain other persons or organizations identified in section 208. I have a financial interest in Microsoft worth more than \$15,000. As such, I am disqualifying myself from participating personally and substantially in any particular matter that would have a direct and predictable effect on the financial interests of this company.

Until further notice, I will not officially participate in any way in such matters. To help ensure that I do not officially participate in these matters, the following steps have already been taken or are in the process of being taken:

(1) Heather Clarke, Chief Of Staff to the Postmaster General, and Michael Elston, Special Senior Advisor to the Postmaster General, have been instructed to screen all matters assigned to me to ensure that I do not directly or indirectly participate in any matter involving Microsoft. Ms. Clarke and Mr. Elston have also agreed to forward all matters involving Microsoft to David E. Williams, Chief Logistics and Processing Operations Officer and Executive Vice President.

(2) Ms. Clarke, Mr. Elston, and Mr. Williams agree that if any questions arise concerning the above-noted procedures, they must immediately contact the Ethics Office for consultation and advice.

In consultation with the Ethics Office, I will revise and update this memorandum whenever it is warranted by changed circumstances, such any sale or exchange of stock, or a change in the nature of my official duties. In the event of any changes to this arrangement, I will provide you with written notice.

If you would prefer to select someone other than the individuals named in this agreement, please notify me of your determination so that I might provide the information to the appropriate personnel.

Please let me know if you have any questions.

øuis DeJoy

cc: Ethics Office

475 L'Enfant Plaza SW Washington, DC 20260-0010 www.usps.com LOUIS DEJOY Postmaster General, CEO



January 28, 2021

USPS ETHICS OFFICE MICHAEL ELSTON, SECRETARY OF THE BOARD OF GOVERNORS

SUBJECT: Notification of Commitment to Recuse and Screening Arrangement

This memorandum is to provide you with written notification of my commitment to refrain from participation in certain matters in which I may have a financial interest, and the screening arrangement I have implemented to ensure my compliance with this commitment.

Under 18 U.S.C. § 208, I am prohibited from participating personally and substantially in any particular matter that would have a direct and predictable effect on my own financial interests or those of certain other persons or organizations identified in section 208. I have a financial interest in Northrup Grumman worth more than \$15,000. As such, I am disqualifying myself from participating personally and substantially in any particular matter that would have a direct and predictable effect on the financial interests of this company.

Until May 31, 2021, I will not officially participate in any way in such matters. To help ensure that I do not officially participate in these matters, the following steps have already been taken or are in the process of being taken:

(1) Heather Clarke, Chief Of Staff to the Postmaster General, and Michael Elston, Special Senior Advisor to the Postmaster General, have been instructed to screen all matters assigned to me to ensure that I do not directly or indirectly participate in any matter involving Northrup Grumman. Ms. Clarke and Mr. Elston have also agreed to forward all matters involving Northrup Grumman to Kristin Seaver, Chief Retail and Delivery Officer and Executive Vice President.

(2) Ms. Clarke, Mr. Elston, and Ms. Seaver agree that if any questions arise concerning the abovenoted procedures, they must immediately contact the Ethics Office for consultation and advice.

As of June 1, 2021, I will no longer own this financial interest. As such, this arrangement will terminate as of that date.

If you would prtifier to select someone other than the individuals named in this agreement, please notify me of your determination so that I might provide the information to the appropriate personnel.

Please let me know/f you have any questions. Louis DeJoy

cc: Ethics Office

475 L'ENFANT PLAZA SW Washington, DC 20260-0010 www.lisps.com LOUIS DEJUY POSTRANTER GENERAL, CEO



February 22, 2021

USPS ETHICS OFFICE MICHAEL ELSTON, SECRETARY OF THE BOARD OF GOVERNORS

SUBJECT: Notification of Commitment to Recuse and Screening Arrangement

This memorandum is to provide you with written notification of my commitment to refrain from participation in certain matters in which I may have a financial interest, and the screening arrangement I have implemented to ensure my compliance with this commitment.

Under 18 U.S.C. § 208, I am prohibited from participating personally and substantially in any particular matter that would have a direct and predictable effect on my own financial interests or those of certain other persons or organizations identified in section 208. I have a financial interest in HP Inc. worth more than \$15,000. As such, I am disqualifying myself from participating personally and substantially in any particular matter that would have a direct and predictable effect on the financial interests of this company.

Until further notice, I will not officially participate in any way in such matters. To help ensure that I do not officially participate in these matters, the following steps have already been taken or are in the process of being taken:

(1) Heather Clarke, Chief Of Staff to the Postmaster General, and Michael Elston, Special Senior Advisor to the Postmaster General, have been instructed to screen all matters assigned to me to ensure that I do not directly or indirectly participate in any matter involving HP Inc. Ms. Clarke and Mr. Elston have also agreed to forward all matters involving HP Inc. to Kristin Seaver, Chief Retail and Delivery Officer and Executive Vice President.

(2) Ms. Clarke, Mr. Elston, and Ms. Seaver agree that if any questions arise concerning the abovenoted procedures, they must immediately contact the Ethics Office for consultation and advice.

In consultation with the Ethics Office, I will revise and update this memorandum whenever it is warranted by changed circumstances, such any sale or exchange of stock, or a change in the nature of my official duties. In the event of any changes to this arrangement, I will provide you with written notice.

If you would prefer to select someone other than the individuals named in this agreement, please notify me of yo'r determination so that I might provide the information to the appropriate personnel.

Please let me know if you have any questions.

Louis DeJoy

cc: Ethics Office

175 L'ENFANT PLAZA SW WASHINGTON, DC 20260-0010 WWW.USPS.COM

MEMORANDUM

TO:	USPS Ethics Office Michael J. Elston, Secretary of the Board of Governors
FROM:	Louis DeJoy, Postmaster General and Chief Executive Officer

DATE: June 28, 2021

SUBJECT: Notification of Continued Commitment to Recuse and Screening Arrangement

This memorandum is to provide you with written notification of my continued commitment to refrain from participation in certain matters which may cause appearance of impartiality concerns, and the screening arrangement I have implemented to ensure my compliance with this commitment.

On July 21, 2020, I disqualified myself from participating in matters XPO Logistics, Inc (XPO) because I had a financial interest in the company worth more than \$15,000. Although I no longer have this financial interest, I have continued and will continue to disqualify myself from participating personally and substantially in any particular matter involving XPO, because one of the companies for which I am a major shareholder leases property to XPO. I have advised that this relationship is a "covered relationship" for purposes of the Impartiality in Performing Official Duties regulations in the Standards of Ethical Conduct for Employees of the Executive Branch. 5 C.F.R. § 2635.502.

I will continue to not officially participate in such matters until further notice. To help ensure that I do not officially participate in these matters, the following steps have already been taken or are in the process of being taken;

- (1) Heather Clarke, Chief Of Staff to the Postmaster General, Jacqueline Krage Strako, Chief Commerce & Business Solutions Officer & Executive Vice President, Scott Bombaugh, Chief Technology Officer & Executive Vice President, Pritha Mehra, Chief Information Officer & Executive Vice President, Steven Monteith, Chief Customer & Marketing Officer & Executive Vice President, Joseph Corbett, Chief Financial Officer & Executive Vice President, and Thomas Marshall, General Counsel & Executive Vice President have been instructed to screen all matters assigned to me to ensure that I do not directly or indirectly participate in any matter involving XPO. Ms. Clarke, Ms. Strako, Mr. Bombaugh, Ms. Mehra, Mr. Monteith, Mr. Corbett, and Mr. Marshall have also agreed to forward all matters involving XPO to Doug Tulino, Deputy Postmaster General.
- (2) Ms. Clarke, Ms. Strako, Mr. Bombaugh, Ms. Mehra, Mr. Monteith, Mr. Corbett, Mr. Marshall, and Mr. Tulino agree that if any questions arise concerning the above-noted procedures, they must immediately contact the Ethics Office for consultation and advice.

If you would prefer to select someone other than the individuals named in this agreement, please notify me of your determination so that I might provide the information to the appropriate personnel.

Please let me know if you have any questions.

Louis DeJov

Postmaster General and Chief Executive Officer

LOUIS DEJOY Postmaster General, CEO



June 28, 2021

USPS ETHICS OFFICE MICHAEL J. ELSTON, SECRETARY OF THE BOARD OF GOVERNORS

SUBJECT: Notification of Commitment to Recuse and Screening Arrangement

This memorandum is to provide you with written notification of my commitment to refrain from participation in certain matters in which I may have a financial interest, and the screening arrangement I have implemented to ensure my compliance with this commitment. This memorandum supersedes any previous memoranda.

Under 18 U.S.C. § 208, I am prohibited from participating personally and substantially in any particular matter that would have a direct and predictable effect on my own financial interests or those of certain other persons or organizations identified in section 208. I have a financial interest in each of the companies listed below worth more than \$15,000. As such, I am disqualifying myself from participating personally and substantially in any particular matter that would have a direct and predictable effect on the financial interests of the following companies:

BEST BUY HP INC. RAYTHEON TECHNOLOGIES CORPORATION CITIGROUP INC MOTOROLA SOLUTIONS INC PROCTER & GAMBLE WALT DISNEY CO WELLS FARGO & CO

I will not officially participate in any way in such matters until further notice. To help ensure that I do not officially participate in these matters, the following steps have already been taken or are in the process of being taken:

(1) Heather Clarke, Chief of Staff to the Postmaster General, Jacqueline Krage Strako, Chief Commerce & Business Solutions Officer & Executive Vice President, Scott Bombaugh, Chief Technology Officer & Executive Vice President, Pritha Mehra, Chief Information Officer & Executive Vice President, Steven Monteith, Chief Customer & Marketing Officer & Executive Vice President, Joseph Corbett, Chief Financial Officer & Exec. VP, and Thomas Marshall, General Counsel & Exec. VP have been instructed to screen all matters for me to ensure that I do not directly or indirectly participate in any matter involving the companies listed above. Ms. Clarke, Ms. Strako, Mr. Bombaugh, Ms. Mehra, Mr. Monteith, Mr. Corbett, and Mr. Marshall have also agreed to forward all matters involving companies listed above to Doug Tulino, Deputy Postmaster General.

(2) Ms. Clarke, Ms. Strako, Mr. Bombaugh, Ms. Mehra, Mr. Monteith, Mr. Corbett, Mr. Marshall, and Mr. Tulino agree that if any questions arise concerning the above-noted procedures, they must immediately contact the Ethics Office for consultation and advice.

If you would prefer to select someone other than the individuals named in this agreement, please notify me of your determination so that I might provide the information to the appropriate personnel.

Please let me know if you have any questions.

Louis DeJoy

cc: Ethics Office Mr. Tulino Ms. Strako Mr. Bombaugh Ms. Mehra Mr. Monteith Mr. Corbett Mr. Marshall LOUIS DEJOY Postwaster General, CEO



November 17, 2020

USPS ETHICS OFFICE KATHERINE SIGLER, ACTING SECRETARY OF THE BOARD OF GOVERNORS

SUBJECT: Notification of Commitment to Recuse and Screening Arrangement

This memorandum is to provide you with written notification of my commitment to refrain from participation in certain matters in which I may have a financial interest, and the screening arrangement I have implemented to ensure my compliance with this commitment.

Under 18 U.S.C. § 208, I am prohibited from participating personally and substantially in any particular matter that would have a direct and predictable effect on my own financial interests or those of certain other persons or organizations identified in section 208. I have a financial interest in Raytheon Technologies Corporation (Raytheon) worth more than \$15,000. As such, I am disqualifying myself from participating personally and substantially in any particular matter that would have a direct and predictable effect on the financial interests of this company.

Until further notice, I will not officially participate in any way in such matters. To help ensure that I do not officially participate in these matters, the following steps have already been taken or are in the process of being taken:

(1) Heather Clarke, Chief Of Staff to the Postmaster General, and Michael Elston, Special Senior Advisor to the Postmaster General, have been instructed to screen all matters assigned to me to ensure that I do not directly or indirectly participate in any matter involving Raytheon. Ms. Clarke and Mr. Elston have also agreed to forward all matters involving Raytheon to David E. Williams, Chief Logistics and Processing Operations Officer and Executive Vice President.

(2) Ms. Clarke, Mr. Elston, and Mr. Williams agree that if any questions arise concerning the above-noted procedures, they must immediately contact the Ethics Office for consultation and advice.

In consultation with the Ethics Office, I will revise and update this memorandum whenever it is warranted by changed circumstances, such any sale or exchange of stock, or a change in the nature of my official duties. In the event of any changes to this arrangement, I will provide you with written notice.

If you would prefer to select someone other than the individuals named in this agreement, please notify me of your determination so that I might provide the information to the appropriate personnel.

Please let me know if you have any questions.

Louis DeJoy

cc: Ethics Office

475 L'Onfant Ruiza SW Washington, DC 20260-0010 www.usps.com LOUIS DEJOY Postmaster General, CEO



September 8, 2021

USPS ETHICS OFFICE MICHAEL J. ELSTON, SECRETARY OF THE BOARD OF GOVERNORS

SUBJECT: Notification of Commitment to Recuse and Screening Arrangement

This memorandum is to provide you with written notification of my commitment to refrain from participation in certain matters in which I may have a financial interest, and the screening arrangement I have implemented to ensure my compliance with this commitment. This memorandum supersedes any previous memoranda.

Under 18 U.S.C. § 208, I am prohibited from participating personally and substantially in any particular matter that would have a direct and predictable effect on my own financial interests or those of certain other persons or organizations identified in section 208. I have a financial interest in each of the companies listed below worth more than \$15,000. As such, I am disqualifying myself from participating personally and substantially in any particular matter that would have a direct and predictable effect on the financial interests of the following companies:

BEST BUY HPtINC. RAYTHEON TECHNOLOGIES CORPORATION CITIGROUP INC MOTOROLA SOLUTIONS INC PNC PROCTER & GAMBLE WALT DISNEY CO WELLS FARGO & CO

I will not officially participate in any way in such matters until further notice. To help ensure that I do not officially participate in these matters, the following steps have already been taken or are in the process of being taken:

(1) Heather Clarke, Chief of Staff to the Postmaster General, Jacqueline Krage Strako, Chief Commerce & Business Solutions Officer & Executive Vice President, Scott Bombaugh, Chief Technology Officer & Executive Vice President, Pritha Mehra, Chief Information Officer & Executive Vice President, Steven Monteith, Chief Customer & Marketing Officer & Executive Vice President, Joseph Corbett, Chief Financial Officer & Exec. VP, and Thomas Marshall, General Counsel & Exec. VP have been instructed to screen all matters for me to ensure that I do not directly or indirectly participate in any matter involving the companies listed above. Ms. Clarke, Ms. Strako, Mr. Bombaugh, Ms. Mehra, Mr. Monteith, Mr. Corbett, and Mr. Marshall have also agreed to forward all matters involving companies listed above to Doug Tulino, Deputy Postmaster General.

(2) Ms. Clarke, Ms. Strako, Mr. Bombaugh, Ms. Mehra, Mr. Monteith, Mr. Corbett, Mr. Marshall, and Mr. Tulino agree that if any questions arise concerning the above-noted procedures, they must immediately contact the Ethics Office for consultation and advice.

If you would prefer to select someone other than the individuals named in this agreement, please notify me of your determination so that I might provide the information to the appropriate personnel.

Please let me know if you have any questions.

Louis¹DeJoy

CC:

Ethics Office Mr. Tulino Ms. Strako Mr. Bombaugh Ms. Mehra Mr. Monteith Mr. Corbett Mr. Marshall