

## **U.S. POSTAL SERVICE**

### **FREEDOM OF INFORMATION ACT (FOIA) REPORT FOR FISCAL YEAR 2014**

#### **I. BASIC INFORMATION REGARDING REPORT**

##### **1. Name, title, address, and telephone number of person to be contacted with questions about the report.**

Jane Eyre  
Deputy Chief FOIA Officer  
Privacy and Records Office  
United States Postal Service  
475 L'Enfant Plaza SW, Room 9431  
Washington, DC 20260-1101 - Telephone (202) 268-2608

##### **2. Electronic address for report on the World Wide Web**

<http://about.usps.com/who-we-are/foia/annual-foia-reports/welcome.htm>

##### **3. How to obtain a copy of this report in paper form.**

A hard copy of this report may be obtained upon written request to:

Jane Eyre  
Deputy Chief FOIA Officer  
Privacy and Records Office  
United States Postal Service  
475 L'Enfant Plaza SW, Room 9431  
Washington, DC 20260-1101

## II. MAKING A FOIA REQUEST

A FOIA request for Postal Service records must be in writing, be a request for records, and bear the caption "Freedom of Information Act Request."

There is no required form for submitting a request. A requester should simply write a letter, indicating FOIA somewhere on the letter, and describe the records wanted. It is also helpful to include the amount of processing fees for which the requester is willing to accept liability. If estimated processing fees exceed that amount, the requester will be notified in advance.

A request should describe, with as much detail as possible, the records being requested. The description should be detailed enough to permit an agency employee familiar with the subject matter to locate the records with a reasonable amount of effort. A reasonable description is required by the FOIA and helps ensure prompt retrieval of the records of interest while minimizing processing costs to the requester.

Detailed information on submitting a FOIA request may be found in Handbook AS-353, Guide to Privacy, the Freedom of Information Act, and Records Management. (<http://www.usps.com/cpim/ftp/hand/as353/welcome.htm>)

### 1. Names, addresses, and telephone numbers of all individual agency components and offices that receive FOIA requests.

Component A	Component B	Component C	Component D
<p>Non-investigative records (HQ controlled records):</p> <p>Records Office United States Postal Service Room 9431 475 L'Enfant Plaza SW Washington, DC 20260-1101</p> <p>Phone: (202) 268-2608</p>	<p>Non-investigative records (field controlled records):</p> <p>USPS FOIA RSC – Field St. Louis General Law Service Center 1720 Market Street Rm 2400 St. Louis, MO 63155-9948</p> <p>Phone: (314) 345-5894</p>	<p>Investigative records:</p> <p>Office of Counsel U.S. Postal Inspection Service 475 L'Enfant Plaza SW Room 3301 Washington, DC 20260-2101</p> <p>Phone: (202) 268-7004</p>	<p>Inspector General records:</p> <p>FOIA Office USPS Office of the Inspector General 1735 North Lynn Street Arlington, VA 22209-2020</p> <p>Phone: (703) 248-2100</p>

## 2. Brief description of why some requests are not granted.

The Postal Service's mission is to provide the nation with reliable, affordable, universal mail service. The basic functions of the Postal Service were established in 39 U.S.C. § 101(a): ". . . [T]o bind the Nation together through the personal, educational, literary, and business correspondence of the people." The Postal Service is "an independent establishment of the executive branch" of the government, created by the Postal Reorganization Act, (PRA), 39 U.S.C. §§ 101, et seq., and directed to conduct its operations in accordance with sound business principles. It is the policy of the Postal Service to promote transparency and accountability by adopting a presumption in favor of disclosure in all decisions involving the FOIA and to make its official records available to the public to the maximum extent consistent with the public interest.

The Postal Service primarily invokes FOIA Exemptions 3, 4, 5, and 6 to withhold records from disclosure. FOIA Exemption 3 provides that agencies may withhold records that are exempted from disclosure by another statute. Examples of such statutes include the Postal Reorganization Act and 39 U.S.C. §§ 410(c) and 412. Specifically, 39 U.S.C. § 410(c)(2) permits the Postal Service to withhold "information of a commercial nature, including trade secrets, whether or not obtained from a person outside the Postal Service, which under good business practice would not be publicly disclosed." Some of the types of information withheld under (c)(2) include: information about methods of handling valuable Registered Mail; money order records; technical information on postage meters and prototypes submitted for approval before leasing to mailers; market surveys; records indicating rural carrier lines of travel; records that would be of potential benefit to firms in economic competition with the Postal Service; information that could materially increase procurement costs; and information that might compromise testing or examination materials. The Postal Service's substantial infrastructure and coordination with both private industry and other government agencies requires the generation of schedules, maps, routes, manuals, and plans that could be used to circumvent a variety of legal requirements, including anti-terrorism laws. The Postal Service routinely protects these records when necessary. Further, 39 U.S.C. § 410(c)(3) does not require the disclosure of "information prepared for use in the negotiation of collective bargaining agreements under 39 U.S.C. Chapter 12, and minutes of or notes kept during the negotiating sessions." 39 U.S.C. § 412 prohibits the disclosure of mailing lists or other lists of names or addresses (past or present) of Postal Service customers or other persons to the public by any means or for any purpose. In addition, 39 U.S.C. § 410(c)(1) permits the withholding of the name or address, past or present, of any Postal Service customer.

FOIA Exemption 4 applies to information that contains (1) trade secrets or (2) confidential, commercial information provided to the Postal Service by an outside party, such as a supplier or customer. Any information that relates to commerce, trade or profit may be considered commercial. Voluntarily supplied commercial information is further considered confidential if the provider of the information would customarily choose not to disclose it to the public. Information supplied to the government under compulsion is considered confidential if disclosure of the information would put the supplier at a competitive disadvantage, harm the supplier, or diminish the reliability or quality of information provided to the government by future submitters. The Postal Service uses Exemption 4 to protect confidential dealings with contractors and customers, such as contract details, specific payment information (although total contract award amounts are released), claims and correspondence, and postage statements reflecting customer-specific mail volume. The use of this exemption protects the confidentiality of entities that do business with the Postal Service.

FOIA Exemption 5 permits agencies to withhold “inter-agency or intra-agency memorandums or letters which would not be available by law to a party other than an agency in litigation with the agency.” The exemption permits agencies to withhold records that would be privileged in the context of civil discovery. These records are protected by one or more of the following privileges that have been recognized under the exemption: (1) the “deliberative process” privilege, (2) the attorney-client privilege, and (3) the attorney work-product privilege. For example, internal documents that contain opinions, suggestions, or recommendations of government employees, contain “deliberative” information within the meaning of FOIA Exemption 5. The attorney-client privilege protects confidential communications between an attorney and his or her client relating to a legal matter for which the client has sought professional advice. The attorney work-product privilege protects adversarial trial process by insulating an attorney’s preparation from scrutiny. The Postal Service primarily uses Exemption 5 to protect records related to internal decision-making when it believes that the release of the records could result in confusion or stifling of frank, open discussion within the Postal Service. For example, records that include employee opinions and recommendations that do not reflect a final policy decision may be redacted to remove such pre-decisional recommendations.

FOIA Exemption 6 applies to personal information, including medical and personnel files, the disclosure of which would be a clearly unwarranted invasion of personal privacy. With hundreds of thousands of employees and hundreds of millions of customers, the Postal Service’s daily operations require the use of a great deal of personal information. The Postal Service routinely protects personal information about its employees, customers and other individuals which would be a clearly unwarranted invasion of personal privacy. Customer information protected under Exemption 6 includes records concerning change-of-address or Post Office Box holder information and complaints. Protectable employee information includes attendance, discipline, and medical records.

The Postal Inspection Service is the primary law enforcement arm of the Postal Service, and performs investigative and security functions essential to a stable and sound postal system. The mission of the Inspection Service is to protect the Postal Service, secure the nation’s mail system and ensure public trust in the mail. The U.S. Postal Service Office of Inspector General (USPS OIG) plays a key role in maintaining the integrity and accountability of America’s postal service, its revenue and assets, and its employees. The OIG achieves its mission of helping maintain confidence in the postal system and improving the Postal Service’s financial condition through independent audits and investigations. As such, the records maintained by the Postal Inspection Service and the USPS OIG often involve law enforcement matters. Because law enforcement records are of such interest to subjects of investigations, victims of crime and the public at large, these records are often requested under the FOIA. The Postal Inspection Service and USPS OIG invoke the FOIA’s two privacy exemptions primarily to prevent unwarranted injury to the privacy interests of those individuals identified in law enforcement records, such as suspects, witnesses, or investigators (FOIA Exemptions 6 and 7(C)). In addition, the USPS OIG is obligated under Sec. 7 of the Inspector General Act to protect the identity of employees who provide the agency information, further strengthening the protection afforded under FOIA Exemptions 7(C) and (D). The Postal Inspection Service and USPS OIG also protect information about their enforcement activities, the release of which would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions if such disclosure could reasonably be expected to risk circumvention of the law (FOIA Exemption 7(E)).

### III. ACRONYMS, DEFINITIONS, AND EXEMPTIONS

#### 1. Agency-specific acronyms or other terms.

- a. *E-FOIA* – the “Electronic Freedom of Information Act Amendments of 1996, Public Law No. 104-231, 110 Stat. 3048,” making major revisions to the FOIA, including subsection (e) which pertains to the submission of annual reports by federal agencies on their administration of the Act.
- b. *OIG* – Office of Inspector General.
- c. *Records Custodian* – the head of a postal facility such as an area office, district office, Post Office, or other postal installation that maintains Postal Service records and information. Vice Presidents are the custodians of records and information maintained at Headquarters. Custodians are responsible for seeing that records within their facilities or organizations are managed according to Postal Service policies.
- d. *PS* – United States Postal Service.
- e. *PIS* – United States Postal Inspection Service.

#### 2. Definitions.

- a. *Administrative Appeal* – a request to a federal agency asking that it review, at a higher administrative level, a FOIA determination made by the agency at the initial request level.
- b. *Average Number* – the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.
- c. *Backlog* – the number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for response.
- d. *Component* – for agencies that process requests on a decentralized basis, a “component” is an entity within the agency that processes FOIA requests. The FOIA now requires that agencies include in their Annual FOIA Report data for both the agency overall and for each principal component of the agency.
- e. *Consultation* – the procedure whereby the agency responding to a FOIA request first forwards a record to another agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes its review of the record, it responds back to the agency that forwarded it. That agency, in turn will then respond to the FOIA requester.

- f. *Denial* – an agency decision not to release any part of a record or records in response to a FOIA request because all the information in the requested records is determined by the agency to be exempt under one or more of the FOIA’s exemptions.
- g. *Exemption 3 statute* – a federal statute that exempts or prohibits information from disclosure and which the agency relies on to withhold information under FOIA subsection (b)(3).
- h. *FOIA Request* – A FOIA request is generally a request for access to agency records concerning another person (i.e., a “third-party” request) an organization, or a particular topic of interest. FOIA requests also include requests made by requesters seeking records concerning themselves (i.e., “first-party” requests) when those requesters are not subject to the Privacy Act, such as non-U.S. citizens. Moreover, because all first-party requesters should be afforded the benefit of both the access provisions of the FOIA as well as those of the Privacy Act, FOIA requests also include any first-party requests where an agency determines that it must search beyond its Privacy Act “systems of records” or where a Privacy Act exemption applies, and the agency looks to FOIA to afford the greatest possible access. All requests which require the agency to utilize the FOIA in responding to the requester are included in this report.

Additionally, a FOIA request includes requests for records referred to the agency for processing and direct response to the requester. It does not, however, include a request for records for which the agency has received as part of a request for consultation from another agency. (Consultations are reported in Section XII of this report.)

- i. *Full Grant* – an agency decision to disclose all records in full in response to a FOIA request.
- j. *Full Denial* – an agency decision not to release any records in response to a FOIA request because the records are exempt in their entirety under one or more of the FOIA exemptions, or because of a procedural reason, such as when no records could be located.
- k. *Initial Request* – a request to a federal agency for access to records under the Freedom of Information Act.
- l. *Median Number* – the middle, not average, number. For example, of 3, 7, and 14, the median number is 7.
- m. *Multi-track Processing* – a system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests in each track are processed on a first-in, first-out basis. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first in/first out basis.
  - i. *Expedited Processing* – an agency will process a FOIA request on an expedited basis when a requester satisfies the requirements for expedited processing as set forth in the status and agency regulations.
  - ii. *Simple Request* – a FOIA request that an agency using multi-track processing places in its fastest (non-expedited) track based on the low volume and/or simplicity of the records requested.
  - iii. *Complex Request* – a FOIA request that an agency using multi-track processing places in a slower track based on the high volume and/or complexity of the records requested.

- n. *Partial Grant/Partial Denial* – in response to a FOIA request, an agency decision to disclose portions of the records and to withhold other portions that are exempt under the FOIA, or to otherwise deny a portion of the request for a procedural reason.
- o. *Perfected Request* – a FOIA request for records which reasonably describes the records sought and is made in accordance with published rules stating the time, place, fees (if any) and procedures to be followed.
- p. *Processed Request or Processed Administrative Appeal* – a request or administrative appeal for which an agency has taken a final action in all respects.
- q. *Range in Number of Days* – the lowest and highest number of days to process requests or administrative appeals.
- r. *Time limits* – the time period in the FOIA for an agency to respond to a FOIA request (ordinarily 20 working days from receipt of a perfected FOIA request).

### **3. Exemptions.**

*Exemption 1* - applies to classified national defense and foreign relations information.

*Exemption 2* – information that is related solely to the internal personnel rules and practices of an agency.

*Exemption 3* - information that is prohibited from disclosure by another federal law.

*Exemption 4* - trade secrets and other confidential business information.

*Exemption 5* – inter-agency or intra-agency communications that are protected by legal privileges.

*Exemption 6* – information involving matters of personal privacy.

*Exemption 7* - records or information compiled for law enforcement purposes, to the extent that providing these records:

- (A) could reasonably be expected to interfere with enforcement proceedings,
- (B) would deprive a person of a right to a fair trial or impartial adjudication,
- (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy,
- (D) could reasonably be expected to disclose the identity of a confidential source,
- (E) would disclose techniques, procedures, and guidelines used in law enforcement investigations or prosecutions, if the disclosure could reasonably be expected to risk circumvention of the law, and
- (F) could reasonably be expected to endanger the life or physical safety of any individual.

*Exemption 8* - information relating to the supervision of financial institutions.

*Exemption 9* - geological information on wells.



#### IV. Exemption 3 Statutes

<b>A. For Initial Requests</b>				
<b>Exempting Statute</b>	<b>Type of Information Withheld</b>	<b>Case Citation</b>	<b>Number of Times Relied upon per Component</b>	<b>Total Number of Times Relied upon by Agency</b>
39 U.S.C. § 410(c)(1)	Records relating to names and addresses of postal customers	None	USPS: 314 Inspection Service: 0 OIG: 0	314
39 U.S.C. § 410(c)(2)	Records relating to commercial information that is proprietary to the Postal Service	<u>Wickwire Gavin, P.C. v. USPS</u> , 356 F.3d 588, 589, 597 (4th Cir. 2004); <u>Am. Postal Workers Union, AFL-CIO v. USPS</u> , 742 F. Supp. 2d 76, 81-83 (D.D.C. 2010); <u>Reid v. USPS</u> , No. 05-294, 2006 WL 1876682, at *5-9 (S.D. Ill, July 5, 2006).	USPS: 118 Inspection Service: 8 OIG: 7	133
39 U.S.C. § 410(c)(3)	Records containing information prepared for use in the negotiation of collective bargaining agreements under 39 U.S.C. Chapter 12 and minutes or notes kept during the negotiating sessions	None	USPS: 0 Inspection Service: 0 OIG: 3	3
39 U.S.C. § 410(c)(6)	Investigatory files, whether or not considered closed, compiled for law enforcement purposes except to the extent available by law to a party other than the Postal Service	None	USPS: 5 Inspection Service: 0 OIG: 0	5
39 U.S.C. § 412	Records containing lists of postal customers	None	USPS: 6 Inspection Service: 0 OIG: 0	6

18 USC § 2510 (Title III of the Omnibus Crime Control and Safe Streets Act)	Wiretap requests and the contents of any wire, oral, or electronic communication obtained through wiretaps	<u>Mendoza v. DEA</u> , No. 07-5006, 2007 U.S. App. LEXIS 22175 (D.C. Cir. Sept. 14, 2007) (per curiam); <u>Lam Lek Chong v. DEA</u> , 929 F.2d 729, 733 (D.C. Cir. 1991); <u>Payne v. DOJ</u> , No. 96-30840, slip op. at 5-6	USPS: 0 Inspection Service: 4 OIG: 0	4
18 USC § 1461	Mail matter that is obscene or crime-inciting	None	USPS: 0 Inspection Service: 2 OIG: 0	2
18 USC § 2517 (Title III of the Omnibus Crime Control and Safe Streets Act)	Wiretap requests and the contents of any wire, oral, or electronic communication obtained through wiretaps	<u>Mendoza v. DEA</u> , No. 07-5006, 2007 U.S. App. LEXIS 22175 (D.C. Cir. Sept. 14, 2007) (per curiam); <u>Lam Lek Chong v. DEA</u> , 929 F.2d 729, 733 (D.C. Cir. 1991); <u>Payne v. DOJ</u> , No. 96-30840, slip op. at 5-6	USPS: 0 Inspection Service: 4 OIG: 0	4
18 U.S.C. § 3509 (Federal Victims' Protection and Rights Act)	Certain records containing identifying information pertaining to children involved in criminal proceedings	<u>Tampico v. EOUSA</u> , No. 04-2285, slip op. at 8	USPS: 0 Inspection Service: 2 OIG: 0	2
Fed. R. Crim. P. 6(e), enacted by Act of July 30, 1977, Pub. L. No. 95-78, 91 Stat. 319	Certain records pertaining to grand jury proceedings	None	USPS: 0 Inspection Service: 6 OIG: 1	7

<b>B. For Appeals</b>				
<b>Exempting Statute</b>	<b>Type of Information Withheld</b>	<b>Case Citation</b>	<b>Number of Times Relied upon per Component</b>	<b>Total Number of Times Relied upon by Agency</b>
39 U.S.C. § 410(c)(1)	Records relating to names and addresses of postal customers	None	Law Off: 2 OIG: 0	2
39 U.S.C. § 410(c)(2)	Records relating to commercial information that is proprietary to the Postal Service	<u>Wickwire Gavin, P.C. v. USPS</u> , 356 F.3d 588, 589, 597 (4th Cir. 2004); <u>Am. Postal Workers Union, AFL-CIO v. USPS</u> , 742 F. Supp. 2d 76, 81-83 (D.D.C. 2010); <u>Reid v. USPS</u> , No. 05-294, 2006 WL 1876682, at *5-9 (S.D. Ill, July 5, 2006).	Law Off: 4 OIG: 1	5
39 U.S.C. § 410(c)(3)	Records containing information prepared for use in the negotiation of collective bargaining agreements under 39 U.S.C. Chapter 12 and minutes or notes kept during the negotiating sessions	None	Law Off: 2 OIG: 2	4
Fed. R. Crim. P. 6(e), enacted by Act of July 30, 1977, Pub. L. No. 95-78, 91 Stat. 319	Certain records pertaining to grand jury proceedings	None	Law Off: 0 OIG: 1	1

## V. FOIA/PA Requests

### A. Received, Processed and Pending FOIA Requests

	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
<b>PS</b>	158*	1673	1611	220
<b>PIS</b>	17*	378	371	24
<b>OIG</b>	21*	496	507	10
<b>Agency Overall</b>	196*	2547	2489	254

\*Adjusted to account for those requests that had not been reported as received or closed for last fiscal year.

**B. (1) Disposition of FOIA Requests – All Processed Requests**

	Number of Full Grants	Number of Partial Grants/ Partial Denials	Number of Full Denials Based on Exemptions	Number of Full Denials Based on Reasons Other than Exemptions									TOTAL
				No Records	All Records Referred to Another Comp. or Agency	Request Withdrawn	Fee Related Reason	Records Not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency Record	Duplicate Request	Other See B.(2) Below	
<b>PS</b>	399	165	396	248	80	76	44	81	98	6	18	0	1611
<b>PIS</b>	18	146	17	58	52	4	3	3	66	1	3	0	371
<b>OIG</b>	18	231	21	79	28	31	0	0	29	66	3	1	507
<b>Agency Overall</b>	435	542	434	385	160	111	47	84	193	73	24	1	2489

**B. (2) Disposition of FOIA Requests – “Other” Reasons for “Full Denials Based on Reasons Other than Exemptions” from Section V, B (1) Chart**

	Description of “Other” Reasons for Denials from Chart B (1) & Number of Times Those Reasons Were Relied Upon	TOTAL
PS	N/A	0
PIS	N/A	0
OIG	Request in litigation	1
Agency Overall		1

**B. (3) Disposition of FOIA Requests – Number of Times Exemptions Applied**

	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
PS	0	12	434	73	48	461	0	1	5	1	3	0	0	0
PIS	0	1	16	10	30	107	9	0	151	74	41	3	0	0
OIG	0	1	10	1	9	24	21	0	228	11	34	0	0	0
Agency Overall	0	14	460	84	87	592	30	1	384	86	78	3	0	0

**VI. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATION OF FOIA REQUESTS**

**A. Received, Processed and Pending Administrative Appeals**

	Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
PS & PIS	13*	174	152	35
OIG	6	35	38	3
Agency Overall	19*	209	190	38

\*Adjusted to account for those requests that had not been reported as received or closed for last fiscal year.

**B. Disposition of Administrative Appeals – All Processed Appeals**

	<b>Number Affirmed on Appeal</b>	<b>Number Partially Affirmed &amp; Partially Reversed/Remanded on Appeal</b>	<b>Number Completely Reversed/Remanded on Appeal</b>	<b>Number of Appeals Closed for Other Reasons</b>	<b>TOTAL</b>
<b>PS &amp; PIS</b>	53	7	78	14	152
<b>OIG</b>	30	3	2	3	38
<b>Agency Overall</b>	83	10	80	17	190

**C. (1) Reasons for Denial on Appeal – Number of Times Exemptions Applied**

	<b>Ex. 1</b>	<b>Ex. 2</b>	<b>Ex. 3</b>	<b>Ex. 4</b>	<b>Ex. 5</b>	<b>Ex. 6</b>	<b>Ex. 7(A)</b>	<b>Ex. 7(B)</b>	<b>Ex. 7(C)</b>	<b>Ex. 7(D)</b>	<b>Ex. 7(E)</b>	<b>Ex. 7(F)</b>	<b>Ex. 8</b>	<b>Ex. 9</b>
<b>PS &amp; PIS</b>	0	0	6	1	3	13	2	0	4	2	0	0	0	0
<b>OIG</b>	0	0	3	0	3	2	1	0	31	0	5	0	0	0
<b>Agency Overall</b>	0	0	9	1	6	15	3	0	35	2	5	0	0	0



**C. (2) Reasons for Denial on Appeal – Reasons Other than Exemptions**

	No Records	All Records Referred to Another Component or Agency	Request Withdrawn	Fee Related Reason	Records Not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency Record	Duplicate Request	Other See C.(3) Below
PS & PIS	0	0	0	0	0	1	0	0	0
OIG	0	0	0	0	0	1	1	0	0
Agency Overall	0	0	0	0	0	2	1	0	0

**C. (3) Reasons for Denial on Appeal – “Other” Reasons from Section VI, C.(2) Chart**

	Description of “Other” Reasons for Denial on Appeal from Chart C (2) & Number of Times Those Were Relied Upon	TOTAL
PS & PIS		0
OIG		0
Agency Overall		0

**C. (4) Response Time for Administrative Appeals**

	<b>Median Number of Days</b>	<b>Average Number of Days</b>	<b>Lowest Number of Days</b>	<b>Highest Number on Days</b>
<b>PS &amp; PIS</b>	19	24.58	1	153
<b>OIG</b>	18.5	16.29	6	24
<b>Agency Overall</b>	19	22.74	1	153

**C. (5) Ten Oldest Pending Administrative Appeals**

<b>Date of Receipt of Ten Oldest Appeals/ Number of Days Pending</b>	<b>10th</b>	<b>9th</b>	<b>8th</b>	<b>7th</b>	<b>6th</b>	<b>5th</b>	<b>4th</b>	<b>3rd</b>	<b>2nd</b>	<b>Oldest</b>
<b>PS &amp; PIS</b>	Date: 06/20/2014  # of Days: 70	Date: 06/18/2014  # of Days: 72	Date: 05/05/2014  # of Days: 103	Date: 04/01/2014  # of Days: 127	Date: 04/01/2014  # of Days: 127	Date: 03/18/2014  # of Days: 137	Date: 01/02/2014  # of Days: 188	Date: 09/25/2012  # of Days: 505	Date: 01/06/2012  # of Days: 687	Date: 09/14/11  # of Days: 764
<b>OIG</b>	Date: N/A  # of Days: 0	Date: N/A  # of Days: 0	Date: N/A  # of Days: 0	Date: N/A  # of Days: 0	Date:  # of Days: 0	Date:  # of Days: 0	Date:  # of Days: 0	Date: 9/22/2014  # of Days: 6	Date: 09/19/2014  # of Days: 7	Date: 09/09/2014  # of Days: 15
<b>Agency Overall</b>	Date: 06/20/2014  # of Days: 70	Date: 06/18/2014  # of Days: 72	Date: 05/05/2014  # of Days: 103	Date: 04/01/2014  # of Days: 127	Date: 04/01/2014  # of Days: 127	Date: 03/18/2014  # of Days: 137	Date: 01/02/2014  # of Days: 188	Date: 09/25/2012  # of Days: 505	Date: 01/06/2012  # of Days: 687	Date: 09/14/11  # of Days: 764

## VII. FOIA Requests: Response Time For Processed and Pending Requests

### A. Processed Requests – Response Time for All Processed Perfected Requests

	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median # of Days	Average # of Days	Lowest # of Days	Highest # of Days	Median # of Days	Average # of Days	Lowest # of Days	Highest # of Days	Median # of Days	Average # of Days	Lowest # of Days	Highest # of Days
PS	6	17.67	1	544	21	38.85	1	673	1	7.6	1	33
PIS	10	15.84	1	215	60	43.5	1	82	N/A	N/A	N/A	N/A
OIG	6	7.41	1	127	16.5	39.78	1	338	N/A	N/A	N/A	N/A
Agency Overall	7	15.05	1	544	20	39.02	1	673	1	7.6	1	33

### B. Processed Requests – Response Time for Perfected Requests in Which Information Was Granted

	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median # of Days	Average # of Days	Lowest # of Days	Highest # of Days	Median # of Days	Average # of Days	Lowest # of Days	Highest # of Days	Median # of Days	Average # of Days	Lowest # of Days	Highest # of Days
PS	19	29.15	1	312	29	56.54	1	673	17	17	1	33
PIS	15	23.89	1	215	63.5	64.75	60	72	N/A	N/A	N/A	N/A
OIG	8	8.91	1	25	19	46	1	338	N/A	N/A	N/A	N/A
Agency Overall	14	22.32	1	312	28	55.75	1	673	17	17	1	33

**C. Processed Requests – Response Time in Day Increments**

**SIMPLE**

<b>DAYS</b>	<b>1-20</b>	<b>21-40</b>	<b>41-60</b>	<b>61-80</b>	<b>81-100</b>	<b>101-120</b>	<b>121-140</b>	<b>141-160</b>	<b>161-180</b>	<b>181-200</b>	<b>201-300</b>	<b>301-400</b>	<b>401+</b>	<b>TOTAL</b>
<b>PS</b>	1005	190	50	17	17	10	9	5	3	4	8	1	2	1328
<b>PIS</b>	298	40	9	8	2	2	1	0	0	1	2	0	0	363
<b>OIG</b>	483	5	0	0	0	0	1	0	0	0	0	0	0	489
<b>Agency Overall</b>	1786	234	59	25	19	12	11	5	3	5	10	1	2	2172

**COMPLEX**

<b>DAYS</b>	<b>1-20</b>	<b>21-40</b>	<b>41-60</b>	<b>61-80</b>	<b>81-100</b>	<b>101-120</b>	<b>121-140</b>	<b>141-160</b>	<b>161-180</b>	<b>181-200</b>	<b>201-300</b>	<b>301-400</b>	<b>401+</b>	<b>TOTAL</b>
<b>PS</b>	141	65	37	11	7	7	5	3	1	1	4	2	2	286
<b>PIS</b>	3	0	2	2	1	0	0	0	0	0	0	0	0	8
<b>OIG</b>	13	1	2	0	0	1	0	0	0	0	0	1	1	18
<b>Agency Overall</b>	157	66	41	13	8	8	5	3	1	1	4	3	2	312

**EXPEDITED**

<b>DAYS</b>	<b>1-20</b>	<b>21-40</b>	<b>41-60</b>	<b>61-80</b>	<b>81-100</b>	<b>101-120</b>	<b>121-140</b>	<b>141-160</b>	<b>161-180</b>	<b>181-200</b>	<b>201-300</b>	<b>301-400</b>	<b>401+</b>	<b>TOTAL</b>
<b>PS</b>	4	1	0	0	0	0	0	0	0	0	0	0	0	5
<b>PIS</b>	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>OIG</b>	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Agency Overall</b>	4	1	0	0	0	0	0	0	0	0	0	0	0	5

**D. Pending Requests – All Pending Perfected Requests**

	<b>SIMPLE</b>			<b>COMPLEX</b>			<b>EXPEDITED PROCESSING</b>		
	<b>Number Pending</b>	<b>Median # of Days</b>	<b>Average # of Days</b>	<b>Number Pending</b>	<b>Median # of Days</b>	<b>Average # of Days</b>	<b>Number Pending</b>	<b>Median # of Days</b>	<b>Average # of Days</b>
<b>PS</b>	156	53.0	80.02	62	123	235.58	0	0	0
<b>PIS</b>	17	10.0	20.29	7	50	63.14	0	0	0
<b>OIG</b>	10	1.5	11.9	0	0	0	0	0	0
<b>Agency Overall</b>	183	42	70.75	69	102	218.09	0	0	0

**E. Pending Requests – Ten Oldest Pending Perfected Requests**

	<b>10th Oldest Request/ Number of Days Pending</b>	<b>9th</b>	<b>8th</b>	<b>7th</b>	<b>6th</b>	<b>5th</b>	<b>4th</b>	<b>3rd</b>	<b>2nd</b>	<b>Oldest Request and Number of Days Pending</b>
<b>PS</b>	Date: 07/25/2012  # of Days: 548	Date: 07/10/2012  # of Days: 559	Date: 06/28/2012  # of Days: 566	Date: 06/25/2012  # of Days: 569	Date: 05/02/2012  # of Days: 606	Date: 02/01/2012  # of Days: 670	Date: 12/14/2011  # of Days: 702	Date: 05/27/2011  # of Days: 839	Date: 05/17/2011  # of Days: 847	Date: 02/22/2010  # of Days: 1158
<b>PIS</b>	Date: 07/24/2014  # of Days: 47	Date: 07/23/2014  # of Days: 48	Date: 07/23/2014  # of Days: 48	Date: 07/21/2014  # of Days: 50	Date: 07/17/2014  # of Days: 52	Date: 07/16/2014  # of Days: 53	Date: 06/17/2014  # of Days: 73	Date: 06/16/2014  # of Days: 74	Date: 06/03/2014  # of Days: 83	Date: 03/31/2014  # of Days: 128
<b>OIG</b>	Date: 09/30/2014  # of Days: 0	Date: 09/30/2014  # of Days: 0	Date: 09/30/2014  # of Days: 0	Date: 09/30/2014  # of Days: 0	Date: 09/29/2014  # of Days: 1	Date: 09/26/2014  # of Days: 2	Date: 09/23/2014  # of Days: 5	Date: 09/16/2014  # of Days: 10	Date: 08/27/2014  # of Days: 23	Date: 06/10/2014  # of Days: 78
<b>Agency Overall</b>	Date: 07/25/2012  # of Days: 548	Date: 07/10/2012  # of Days: 559	Date: 06/28/2012  # of Days: 566	Date: 06/25/2012  # of Days: 569	Date: 05/02/2012  # of Days: 606	Date: 02/01/2012  # of Days: 670	Date: 12/14/2011  # of Days: 702	Date: 05/27/2011  # of Days: 839	Date: 05/17/2011  # of Days: 847	Date: 02/22/2010  # of Days: 1158

**VIII. Requests for Expedited Processing and Requests for Fee Waivers**

**A. Requests for Expedited Processing**

	<b>Number Granted</b>	<b>Number Denied</b>	<b>Median Number of Days to Adjudicate</b>	<b>Average Number of Days to Adjudicate</b>	<b>Number of Adjudicated Within Ten Calendar Days</b>
<b>PS</b>	5	12	1	2.76	15
<b>PIS</b>	0	2	4.5	4.5	2
<b>OIG</b>	1	1	10.5	10.5	1
<b>Agency Overall</b>	6	15	1	3.67	18

**B. Requests for Fee Waiver**

	<b>Number Granted</b>	<b>Number Denied</b>	<b>Median Number of Days to Adjudicate</b>	<b>Average Number of Days to Adjudicate</b>
<b>PS</b>	3	6	1	2.44
<b>PIS</b>	0	0	N/A	N/A
<b>OIG</b>	0	0	N/A	N/A
<b>Agency Overall</b>	3	6	1	2.44

**IX. FOIA Personnel and Costs**

**A. Personnel**

**B. Costs**

	PERSONNEL			COSTS		
	Number of “Full-Time FOIA Employees”	Number of “Equivalent Full-Time FOIA Employees”	Total Number of “Full-Time FOIA Staff”	Processing Costs	Litigation Related Costs	Total Costs
<b>PS</b>	6	12	18	1,572,480	N/A	1,572,480
<b>PIS</b>	4	0	4	357,490	N/A	357,490
<b>OIG</b>	4	1.5	5.5	366,080	0	366,080
<b>Law Department (Appeals)</b>	0	3	3	310,039	5,000	315,039
<b>Agency Overall</b>	14	16.5	30.5	2,606,089	5,000	2,611,089



## X. Fees Collected For Processing Requests

	Total Amount of Fees Collected	Percentage of Total Costs
PS	\$22,724	1.45
PIS	\$ 363	0.10
OIG	\$ 0	0.0
Agency Overall	\$23,087	0.89

## XI. FOIA Regulations

1. Electronic Link to USPS FOIA Regulations: <http://about.usps.com/handbooks/as353/welcome.htm>
2. Electronic Link to USPS FOIA Fee Schedule: [http://about.usps.com/handbooks/as353/as353c4\\_034.htm](http://about.usps.com/handbooks/as353/as353c4_034.htm)

**XII. Backlogs, Consultations, and Comparisons**

**A. Backlog of FOIA Requests and Administrative Appeals**

	<b>Number of Backlogged Requests as of End of Fiscal Year</b>	<b>Number of Backlogged Appeals as of End of Fiscal Year</b>
<b>PS</b>	144	N/A
<b>PIS</b>	12	N/A
<b>PS &amp; PIS (combined)</b>	N/A	22
<b>OIG</b>	2	0
<b>Agency Overall</b>	158	22

**B. Consultations on FOIA Requests – Received, Processed, and Pending Consultation**

	<b>Number of Consultations Received from Other Agencies that Were Pending at Your Agency as of the Start of the Fiscal Year</b>	<b>Number of Consultations Received from Other Agencies During the Fiscal Year</b>	<b>Number of Consultations Received from Other Agencies that Were Processed by Your Agency During the Fiscal Year</b>	<b>Number of Consultations Received from Other Agencies that Were Pending at Your Agency at the End of the Fiscal Year</b>
<b>PS</b>	0	1	1	0
<b>PIS</b>	2	9	10	1
<b>OIG</b>	0	0	0	0
<b>Agency Overall</b>	2	10	11	1

**C. Consultations on FOIA Requests – Ten Oldest Consultations Received from Other Agencies and Pending at Your Agency**

	<b>10th Oldest Consultation and Number of Days Pending</b>	<b>9th</b>	<b>8th</b>	<b>7th</b>	<b>6th</b>	<b>5th</b>	<b>4th</b>	<b>3rd</b>	<b>2nd</b>	<b>Oldest Consultation and Number of Days Pending</b>
<b>PS</b>	Date: N/A # of Days: 0	Date: N/A # of Days: 0	Date: N/A # of Days: 0	Date: N/A # of Days: 0	Date: N/A # of Days: 0	Date: N/A # of Days: 0	Date: N/A # of Days: 0	Date: N/A # of Days: 0	Date: N/A # of Days: 0	Date: N/A # of Days: 0
<b>PIS</b>	Date: # of Days:	Date: # of Days:	Date: # of Days:	Date: # of Days:	Date: # of Days:	Date: # of Days:	Date: # of Days:	Date: # of Days:	Date: # of Days:	Date: 09/22/2014 # of Days: 6
<b>OIG</b>	Date: # of Days:	Date: # of Days:	Date: # of Days:	Date: # of Days:	Date: # of Days:	Date: # of Days:	Date: # of Days:	Date: # of Days:	Date: # of Days:	Date: # of Days:
<b>Agency Overall</b>	Date: # of Days:	Date: # of Days:	Date: # of Days:	Date: # of Days:	Date: # of Days:	Date: # of Days:	Date: # of Days:	Date: # of Days:	Date: # of Days:	Date: 09/22/2014 # of Days: 6

**D. Comparison of Numbers of Requests from Previous and Current Annual Report – Requests Received, Processed – (Part 1)**

	NUMBER OF REQUESTS RECEIVED		NUMBER OF REQUESTS PROCESSED	
	Number Received During Fiscal Year from Last Year's Annual Report	Number of Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
<b>PS</b>	1396*	1673	1360	1611
<b>PIS</b>	352	378	345	371
<b>OIG</b>	596	496	599	507
<b>Agency Overall</b>	2344*	2547	2304	2489

\*Corrected from FY 2013 Annual Report

**D. Comparison of Numbers of Requests from Previous and Current Annual Report – Backlogged (Part 2)**

	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
PS	86*	144
PIS	6	12
OIG	1	2
Agency Overall	93*	158

\*Adjustments were made to account for requests that were received and/or closed last fiscal year, but had not been reported.

**E. Comparison of Numbers of Administrative Appeals from Previous and Current Annual Report – Appeals Received, Processed – Part 1**

	NUMBER OF APPEALS RECEIVED		NUMBER OF APPEALS PROCESSED	
	Number Received During Fiscal Year from Last Year’s Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed Received During Fiscal Year from Last Year’s Annual Report	Number Processed During Fiscal Year from Current Annual Report
PS & PIS	93	174	97	152
OIG	38	35	35	38
Agency Overall	131	209	132	190

**E. Comparison of Numbers of Administrative Appeals from Previous and Current Annual Report – Backlogged (Part 2)**

	<b>Number of Backlogged Appeals as of End of Fiscal Year from Previous Annual Report</b>	<b>Number of Backlogged Appeals as of End of Fiscal Year from Current Annual Report</b>
<b>PS &amp; PIS</b>	5	22
<b>OIG</b>	0	0
<b>Agency Overall</b>	5	22